Customized FORM PTO-1390 (Rev 02-200) ATTORNEY DOCKET NOL TRANSMITTAL LETTER TO THE UNITED STATES P08620US00/BAS DESIGNATED/ELECTED OFFICE (DO/EO/US) US APPL. NO. (If known. see 37CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/531,430 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 17 October 2002 PCT/GB03/04371 9 October 2003 TITLE OF INVENTION: Facilitating and authenticating transactions APPLICANT(S) FOR DO/EO/US: JEAL, David Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. is not needed since the International Application is in English. 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)). Items 11. to 20. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. **□** 13. A preliminary amendment. ☐ 14. An Application Data Sheet under 37 CFR 1.76. ☐ 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 & 37 CFR 1.821-825. 18. A second copy of the published international application under 35 USC 154(d)(4). 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). 20. Other items or information: Return Receipt Postcard. A copy of the Notification of Missing Requirements under 35 U.S.C. 371. In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 21(c).

Page 1 of 2

Date: October 4, 2005

					Customized FORM PTO-	1390 (Rev 02-2005)
	U.S. APPLICATION NO. (If known) INTERNATIONAL APPLICATION NO. 10/531,430 PCT/GB03/04371		ATTORNEY DOCKET NO. P08620US00/BAS			
	The following fees h	The following fees have been submitted:				PTO USE ONLY
	21. Basic nation	\$300				
	<ul><li></li></ul>	\$200				
	Has been paid o International Se					
		\$ 900				
	SIZE FEE:	unded up] $\times$ \$250 =	\$			
	Surcharge of \$1 the earliest claim	r than 30 months from \$130	3 130			
1	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
	Total Claims	53 - 20 =	33	X \$50 =	\$1,650	
	Independent Claims	3 - 03 =	0	X \$200 =	\$0	
	Multiple Depend	ent Claim(s) (if applic	cable)	+ \$360 =	\$	
	TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status - 37 CFR 1.27. Fees above reduced by ½.  SUBTOTAL =				\$2,680	
					\$	
					\$2,680	
	Processing fee for furnishing the English translation later than 30 months from t earliest claimed priority date (37 CFR 1.492(f)) \$13			8		
	TOTAL NATIONAL FEE =				\$2,680	
···.	Fee for recording accompanied by	\$	•			
	TOTAL FEES ENCLOSED =				\$2,680	
10/11/2005	ATRAN1 00000131 105	531430		Amount to b	e Refunded	\$
01 FC:1617		130.00 OP			Charged	\$
02 FC:1815	a. A CHECK in the 30000 mpof \$ to cover the above fees is enclosed.					
	<ul> <li>b. Payment of \$ 2,680 is made by attached Credit Card Payment Form (PTO-2038)</li> <li>c. The Commissioner is hereby authorized to charge any additional fees which may be required or credit overpayment to Deposit Account No. 12-0555.</li> </ul>					
						edit
03 FC:1206	d. Do not charge any claim fees now - any additional claims fees will be paid with the Missing Requirements.					
	NOTE: Where an ap or (b)) must	ion to revive (37 C	FR 1.137(a)			
Refund Ref: 01/23/2006	POWER OF ATTORNEY & NAME: B. Aaron Schulman			in		
	CORRESPONDENCE A	DDRESS:	REG	. NO.: 31,877		
redit Card Re	fund <b>CeisiFOMERO</b>	<b>№0.</b> 00881	Sign	ed (for) by:	Je Sel	>>
m Exp: XXXX	 \`dda¥e\\td@tbher 4, 2004	5	"	. NO.: 31.877	/	

Customized FORM PTO-1390 (REV. 02-2005)

Page 2 of 2

10/17/2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTS PO. Doz 1450 Alexandria, Vignisa 22313-1450 www.unptu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/531,430 David Jeal P08620US00/BAS

INTERNATIONAL APPLICATION NO.

PCT/GB03/04371

LA. FILING DATE PRIORITY DATE

00881 STITES & HARBISON PLLC 1199 NORTH FAIRFAX STREET SUITE 900 ALEXANDRIA, VA 22314

CONFIRMATION NO. 8714 371 FORMALITIES LETTER \*OC000000017100824\*

\*OC00000017100824\*

10/09/2003

11-26-2005

Date Mailed: 09/26/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/15/2005
- Copy of the International Search Report filed on 04/15/2005
- Copy of IPE Report filed on 04/15/2005
- Copy of Annexes to the IPER filed on 04/15/2005
- Preliminary Amendments filed on 04/15/2005
- Information Disclosure Statements filed on 04/15/2005
- Priority Documents filed on 04/15/2005
- Specification filed on 04/15/2005
- Claims filed on 04/15/2005
- Abstracts filed on 04/15/2005
- Drawings filed on 04/15/2005

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STITES & HARSISON PLLC

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$1650 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$1780 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 1650
  - \$1650 for 33 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.					
10/531,430	PCT/GB03/04371	P08620US00/BAS					

FORM PCT/DO/EO/905 (371 Formalities Notice)